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PTO/SB/21 (05-03) Approved for use through 04/30/2003. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. **Application Number** 10/765.237 TRANSMITTAL Filing Date January 26, 2004 **FORM** First Named Inventor Larry Pack Art Unit (to be used for all correspondence after initial filing) To be assigned **Examiner Name** To be assigned Attorney Docket Number PAC.00001 Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance communication Fee Transmittal Form Drawing(s) to Group Appeal Communication to Board Licensing-related Papers Fee Attached of Appeals and Interferences Appeal Communication to Group Amendment/Reply (Appeal Notice, Brief, Reply Brief) Petition to Convert to a Proprietary Information After Final Provisional Application Power of Attorney, Revocation Status Letter Affidavits/declaration(s) Change of Correspondence Address Other Enclosure(s) (please **Terminal Disclaimer Extension of Time Request** Identify below): PTO Form PTO/SB/08a and 08b; Request for Refund **Express Abandonment Request** Copies of 22 U.S. Patents and 4 other publications; and Return Receipt Postcard CD, Number of CD(s) Information Disclosure Statement Remarks Certified Copy of Priority Document(s) Applicant believes no fee to be due for the attached filing, however, should additional fees be due in order to prevent the abandonment of this Response to Missing Parts/ application, please consider this as authorization to charge Deposit Account Incomplete Application No. 501612 (Warn, Burgess & Hoffmann, P.C.) for any such fees due. A Response to Missing Parts duplicate copy of this document is enclosed for this purpose. under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Warn, Burgess & Hoffmann, P.C. Preston H. Smirman - Reg No. 35365 Individual name Signature Preston H. Jan Date February 19, 2004 CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. Typed or printed name Preston H. Smirman - Reg No. 35365 Date February 19, 2004 Signature

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.:

10/765,237

Filing Date:

January 26, 2004

Applicant:

Larry Pack

Group Art Unit:

To be assigned

Examiner:

To be assigned

Title:

PELVIC FIXATION PLATE

Attorney Docket:

PAC.00001

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, Applicant hereby submits an Information Disclosure Statement for consideration by the Examiner.

I. <u>LIST OF PATENTS, PUBLICATIONS, AND OTHER INFORMATION</u>

The patents, publications and other information submitted for consideration by the Office (except unpublished U.S. patent applications) are listed on Form 1449 or PTO/SB/08a and 08b attached hereto.

II. COPIES

A. ____ Submitted herewith is a legible copy of (i) each U.S. patent application publication and U.S. and foreign patent; (ii) each publication or that portion which caused it to be listed; (iii) for each cited pending U.S. application, the application specification including the claims, and any drawing of the application which caused it to be listed including the claims directed to that portion; and (iv) all other information or that portion which caused it to be listed.

B. ____ Any patents, publications or other information which are listed on Form 1449 or PTO/SB/08a and 08b or on the copies of PTO-892, but which are not enclosed herewith, were previously cited by or submitted to the PTO in one of the following applications which has been relied upon for an earlier filing date under 35 U.S.C. § 120:

III.

IV.

CThis is a PCT application in the entry of the National Phase in the United States. A copy of the International Search Report is attached for the Examiner's information. The documents listed on the International Search Report are listed on the attached Form-1449 or PTO/SB/08a and 08b for consideration by the Examiner and for listing on any patent resulting from this application. Since the International Search Report was from the US, EPO, or JPO search authorities copies of these references should have been supplied to the USPTO under the trilateral agreement and are believed to be in the file of the above-identified application. (MPEP 1893.03(g))
CONCISE EXPLANATION OF THE RELEVANCE (check at least one box)
A. <u>✓</u> Except as may be indicated below in (B), all of the patents, publications of other information are in the English language (concise explanation not required).
B A concise explanation of the relevance of each patent, publication of other information listed that is not in the English language is as follows (see 37 C.F.R. § 1.98(a)(3)):
 See the attached foreign search report. English translations are provided for: Other:
C The following additional information is provided for the Examiner's consideration.
CROSS REFERENCE TO RELATED APPLICATION(S)
A The Examiner is advised that the following co-pending application(s) contain(s) subject matter that may be related to the present application. By bringing this(these) application(s) to the Examiner's attention, Applicant(s) does(do) not waive the confidentiality provisions of 35 U.S.C. § 122.
Serial No. Filing Date Art Unit

V. THIS IDS IS BEING FILED UNDER

A. <u>v</u> 37 C.F.R. § 1.97(b): (check <u>only</u> one box)

1. within three months of the filing date of a national application other than a continued prosecution application under § 1.53(d) (37 C.F.R. § 1.97(b)(1)). No fee or certification is required.

		2 within three months of the date of entry of the national stage as set forth in §1.491 in an international application (37 C.F.R. § 1.97(b)(2)). No fee or certification is required.
		3 before the mailing of a first Office Action on the merits (37 C.F.R. § 1.97(b)(3)). No fee or certification is required. In the event that a first Office Action on the merits has been issued, please consider this IDS under 37 C.F.R. § 1.97(c) and see the certification under 37 C.F.R. § 1.97(e) below; or, if no certification has been made, charge our deposit account a fee in the amount of \$180.00 as required by 37 C.F.R. § 1.17(p).
		4 before the mailing of a first Office Action after the filing of a request for continued examination under 37 C.F.R. § 1.114. No fee or certification is required.
	В	_ 37 C.F.R. § 1.97(c): (check <u>only</u> one box)
		• before the mailing date of either any Final Office Action under 37 C.F.R. § 1.113, a Notice of Allowance under 37 C.F.R. § 1.311, or an action that otherwise closes prosecution.
		1 No certification; therefore, a fee in the amount of \$180.00 is required by 37 C.F.R. § 1.17(p).
		2 See the certification below. No fee is required.
	C	_ 37 C.F.R. § 1.97(d):
		• after the mailing date of either a Final Office Action under 37 C.F.R. § 1.113 or a Notice of Allowance under 37 C.F.R. § 1.311, yet on or before payment of the issue fee.
		1 See the certification below. A fee in the amount of \$180.00 is required by 37 C.F.R. § 1.17(p).
VI.	CERT	IFICATION UNDER 37 C.F.R. § 1.97(e): (check only one box)
	The u	ndersigned hereby certifies that:
	comm not m	each item of information contained in this IDS was first cited in a unication from a foreign patent office in a counterpart foreign application ore than three months prior to the filing of this IDS (See 37 C.F.R. § 9)(1)); or
		no item of information contained in this IDS was cited in a communication a foreign patent office in a counterpart foreign application, and, to the
Applic	cation N	lo. 10/765,237 Page 3

knowledge of the undersigned after making reasonable inquiry, no item of information contained in this IDS was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this IDS (See 37 C.F.R. § 1.97(e)(2)).

C. ____Some of the items of information were first cited in a communication from a foreign patent office. As to this information, the undersigned hereby certifies that each item of information contained in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this IDS. As to the remaining information, the undersigned hereby certifies that no item of this remaining information contained in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in this IDS was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this IDS.

VII. PAYMENT OF FEES (check only one box)

- A. ____ A check in the amount of \$180.00 is enclosed for the above-identified fee.
- B. ____ Please charge Deposit Account No. 501612 in the amount of \$180.00 for the above-indicated fee. A duplicate copy of this paper is attached.

The above references are being cited only in the interest of candor and without any admission that they constitute statutory prior art, contain matter which anticipates the invention, or which would render the same obvious, either singly or in combination, to a person of ordinary skill in the art. Furthermore, this Information Disclosure Statement shall not be construed as a representation that a search has been made.

If it is determined that this IDS has been filed under the wrong rule, the PTO is requested to consider this IDS under the proper rule (with a petition if necessary) and charge the appropriate fee to Deposit Account No. 501612.

Please charge any additional fees or credit any overpayment pursuant to 37 C.F.R. § 1.16 or § 1.17 to Deposit Account No. 501612.

Respectfully submitted,

WARN, BURGESS & HOFFMANN, P.C. Attorneys for Applicant(s)

P.O. Box 70098 Rochester Hills, MI 48307 (248) 364-4300

Dated: February 19, 2004

PHS: srk Enclosures Preston H. Smirman Reg. No. 35365

Application No. 10/765,237

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Sheet

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

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Complete if Known								
Application Number	10/765,237							
Filing Date	January 26, 2004							
First Named Inventor	Larry Pack							
Art Unit	To be assigned							
Examiner Name	To be assigned							
Attorney Docket Number	PAC.00001							

				DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number Number-Kind Code ^{2 (f known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevan Figures Appear
		^{US-} 4,454,876	06/19/1984	Mears	
		^{US-} 4,573,458	03/04/1986	Lower	
		^{US-} 4,800,874	01/31/1989	David et al.	
		^{US-} 4,955,886	09/11/1990	Pawluk	
		^{US-} 4,959,065	09/25/1990	Arnett et al.	
		^{US-} 5,336,224	08/09/1994	Selman	
		^{US-} 5,487,741	01/30/1996	Maruyama et al.	
		^{US-} 5,690,631	11/25/1997	Duncan et al.	
		^{US-} 5,718,705	02/17/1998	Sammarco	
		^{US-} 5,746,742	05/05/1998	Runciman et al.	
		^{US-} 5,752,958	05/19/1998	Wellisz	
		^{US-} 5,766,175	06/16/1998	Martinotti	
		^{US-} 5,904,684	05/18/1999	Rooks	
		^{US-} 5,984,925	11/16/1999	Apgar	
		^{US-} 6,004,353	12/21/1999	Masini	
		^{US-} 6,123,709	09/26/2000	Jones	
		^{US-} 6,283,969	09/04/2001	Grusin et al.	
		^{US-} 6,306,173	10/23/2001	Masini	
		^{US-} 6,340,362	01/22/2002	Pierer et al.	

		FOREIG	GN PATENT DOCU	MENTS		
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)	MM-DD-YYYY		Or Relevant Figures Appear	T ⁶
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Examiner	Date	
Signature	Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

Translation is attached.

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Sheet |2

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Attorney Docket Number	PAC.00001							

			U. S. PATEN	DOCUMENTS		
Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ^{2 (# known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
		^{US-} 6,348,052	02/19/2002	Sammarco		
		^{US-} 6,364,881	04/02/2002	Apgar et al.		
		^{US-} 6,440,131	08/27/2002	Haidukewych		
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		FOREI	GN PATENT DOCU	MENTS		
Examiner Initials*	Cite No.1	ite Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)	MM-DD-YYYY		Or Relevant Figures Appear	

Examiner		Date	
Signature		Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

Translation is attached.

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aperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number. Complete if Known e for form 1449/PTO **Application Number** 10/765,237 INFORMATION DISCLOSURE Filing Date January 26, 2004 STATEMENT BY APPLICANT **First Named Inventor** Larry Pack Art Unit To be assigned (Use as many sheets as necessary) **Examiner Name** To be assigned Sheet Attorney Docket Number 3 of 3 PAC.00001

Examiner	Cite	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of	
Initials*	No. ¹	the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		GEORGE N. ZOYS, MD, ET AL; "Biochemical Evaluation of Fixation of Posterior Acetabular Wall Fractures"; Journal of the Southern Orthopaedic Association; Winter 1999; Vol. 8 No. 4; Pages 254-260.	
		D. DEAN THORNTON, MD; "Acetabulum, Fractures"; http://www.emedicine.com/radio/topic5.htm; 4/3/2002; Pages 1-20.	
		"Fractures of the Pelvis"; http://surgery.4t.com/23.1.htm; 5/5/2003; Pages 1-3.	
		"Central Acetabular Fracture Dislocations"; http://wheeless.orthoweb.be/004/102.htm; 5/5/2003; Page 1.	-

Examiner						Date		
Signature						Considered		
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¹ Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

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